



Clarifications to R2 Code of Practices

To: R2 Certification Bodies

Originator: SERI

Reference Document: Email dated August 3 2013

Date: May 10 2017

Effective Date: Immediately

Purpose: To clarify the requirements mentioned in the email sent by Executive Director, Mr. John Lingelbach to the Certification bodies on August 3 2013, called “Matters relating to R2 auditing times and the Code of Practices”

An “update audit” (from R2:2008 to R2:2013) shall be priced as a surveillance audit.	No longer applicable
The Downstream Vendor Auditing Time chart on page 11 of the Code of Practices is meant to be applied as follows: if a facility has, for example, less than 5 downstream vendors then the amount of auditing time to be added would be between a total of 1 hour (if all are R2 certified) and 2 hours (if none are R2 certified) – see the chart to understand how this works. (We understand that this section in particular may need revising depending on what feedback you all have in costing out the certification process.)	Still valid
When calculating the costs of multi-certification audits using MD-11, the R2 audit may be included in the analysis and resulting cost proposal.	Rescinded
If a stage 2 audit was completed prior to July 1, 2013, the facility need not pay the licensing fee for 2013.	No longer applicable
R2 Solutions will not issue a license to a facility that	Still valid



<p>has an officer who has a felony conviction for exporting in violation of the law. Thus such a facility may not be certified or, if already certified, must have their certification suspended.</p>	
<p>R2 Licensing fees are required for each facility that receives a certificate or is part of a multi-site certification. Campuses, as long as each part of it contributes to a single value stream, may be considered a single facility for these purposes.</p>	<p>Still Valid</p>